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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new non-provisional applications under 37CFR§1.53(b))</small>	Attorney Docket No.	TAL 7146.078
	First Inventor or Application Identifier	Daryl Hlasny
	Title	EFFICIENT AND RELIABLE TRANSMISSION VIA A HYBRID BROADCAST-POINT-TO-POINT SYSTEM
	Express Mail Label No.	EL619334130US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	ADDRESS TO Commissioner for Patents & Trademarks Box Patent Application Washington, D.C. 20231
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1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <small>(preferred arrangement set forth below)</small> - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Federally Sponsored Research - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  Total pages <b>23</b>	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer readable copy b. <input type="checkbox"/> Paper copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)  [Total Pages <b>6</b> ]	<b>ACCOMPANYING APPLICATION PARTS</b> 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney when there is an assignee 9. <input type="checkbox"/> English translation document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 13. <input type="checkbox"/> *Small Entity Statements (PTO/sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired. 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other
4. Oath or Declaration  a. <input checked="" type="checkbox"/> Newly executed (original or copy)  b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 16 completed)  i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)	

\* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No.: ____/____
Prior application information: Examiner _____		Group No./Art Unit _____	

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Timothy A. Long				
Address	601 SW Second Ave., Suite 1600				
City	Portland	State	OR	Zip Code	97204-3157
Country	USA	Telephone	(503)227-5631	FAX	(503)228-4373
Name (print type)	Timothy A. Long		Registration No	28,876	
Signature	<i>Timothy A. Long</i>		Date	August 31, 2000	

## EFFICIENT AND RELIABLE TRANSMISSION VIA A HYBRID BROADCAST - POINT-TO-POINT SYSTEM

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### BACKGROUND OF THE INVENTION

The present invention relates to data transmission and, more particularly, a method of data transmission combining broadcast and point-to-point transmission topologies for efficient and reliable data transfer.

10 Emerging wireless communication systems are intended to provide voice and data communication between a wide variety of devices. Two such systems are systems complying with the SPECIFICATION OF THE BLUETOOTH SYSTEM, CORE, The Bluetooth Special Interest Group, 1999 (Bluetooth systems) and systems complying with the SHARED WIRELESS ACCESS  
15 PROTOCOL (CORDLESS ACCESS) SPECIFICATION (SWAP-CA), The HomeRF Technical Committee, 1999 (HomeRF systems). Bluetooth and HomeRF systems are short range wireless radio systems operating in the unlicensed Instrument, Scientific, and Medical (ISM) band at 2.4 GHz. Frequency hopping, spread spectrum techniques are utilized to reduce interference and  
20 fading in the noisy environment in which these systems will operate. For example, with Bluetooth systems information is exchanged as packets in a slotted communication channel. Slots in the communication channel are 625  $\mu$ sec. in length and data packets are typically one-slot in length but can cover up to five slots. The system shifts transmission frequency for each slot by hopping through  
25 a psuedo-random sequence of 79 frequencies displaced from each other by 1 MHZ. The Bluetooth and Home RF systems support both asynchronous (primarily, data) and synchronous (voice) communication.

Typically, data communication between end systems of wireless networks utilizes a point-to-point communication topology. Point-to-point communication  
30 with acknowledgment by the receiver affords the opportunity to utilize error

detection and control techniques to provide reliable data delivery. Reliable delivery is important for data transfer because any corruption of the data can render an entire data object useless. In the Bluetooth system forward error control (FEC) is applied to the packet header and a Cyclic Redundancy

- 5 Check (CRC) is applied to the payload of data packets. FEC can also be applied to the data payload if it is determined that the communication channel is sufficiently unreliable to justify the additional overhead. The receiver acknowledges successful receipt of each packet with a reverse transmission to the sender and if an error is detected in either the packet header or the payload
- 10 an automatic request for retransmission (ARQ) is issued. In response, the sender retransmits the data until the recipient either signals that the data was correctly received or a predetermined number of transmissions are exceeded. While point-to-point communication provides reliable delivery, it also requires that at least one copy of the data be transmitted individually to each recipient. Therefore, the total
- 15 time required to distribute a data file to all recipients is proportional to the number of recipients and, if the number of recipients is large, the transmission time can become excessive.

- On the other hand, broadcasting a single copy of the data simultaneously to all recipients eliminates the redundant transmission of copies to individual
- 20 recipients that is inherent in point-to-point communication. Since the time required for data distribution by broadcasting is independent of the number of recipients, broadcasting is potentially more efficient when communicating with a large group. However, delivery is unreliable when broadcasting because the sender is unaware of the recipient's success in receiving the data. To improve the likelihood of
- 25 success, data may be rebroadcast, one or more times, but rebroadcasting is performed without knowledge of the current conditions of the communication channel and the total time required for transmission increases in proportion to the number of times the data is broadcast. Since the likelihood of error increases as the size of the transmitted data object increases, broadcasting is not used to
- 30 transmit large data objects in noisy, dynamic communication channels. Short

range wireless systems, such as Bluetooth and HomeRF systems, are characterized by communication channels that are noisy and subject to multi-path fading due to structures in the transmission area.

What is desired, therefore, is a communication method for efficiently and  
5 reliably transferring data to a large number of recipients in a noisy communication environment.

## SUMMARY OF THE INVENTION

The present invention overcomes the aforementioned drawbacks of the  
10 prior art by providing a method of transferring data from a distributor to a plurality of recipients comprising the steps of broadcasting unreceived data to the recipients; repeating the broadcasting of unreceived data until a time for transferring the unreceived data by point-to-point communication with the recipients obtains a predetermined relationship to a time for the broadcasting;  
15 and, thereafter, transferring the unreceived data by point-to-point communication with at least one recipient. Data transfer with a wireless network is typically performed by point-to-point communication with each of the data recipients. The time required to transfer data by point-to-point communication can be excessively long if there if there are a large number of recipients. Broadcasting data  
20 simultaneously to all recipients is potentially an efficient method of transferring data to a large number of recipients but is not typically used for this purpose because the distribution station has no knowledge of the conditions of the communication channel. However, in the present hybrid system missing data is identified by polling recipients following a broadcast of the data. For efficiency  
25 and reliability, the time required to transfer the missing data by point-to-point communication is estimated after a broadcast and the data is transferred by reliable point-to-point communication if the required time has obtained a predetermined relationship to the time required to broadcast the data. If not, the data is rebroadcast.

30 In addition, a representative recipient can be designated to report receipt of

the data on behalf of all recipients during broadcasting. The representative may be designated or may be selected on the basis of success in receiving data. In some wireless systems, the representative may signal success in receiving data packets on a back channel without affecting the capacity of the forward

5 (distributor-to-recipient) channel.

The foregoing and other objectives, features and advantages of the invention will be more readily understood upon consideration of the following detailed description of the invention, taken in conjunction with the accompanying drawings.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic diagram of data distribution to a group of recipients.

FIG. 2 is a flowchart of hybrid data transfer method.

FIG. 3 is a timing diagram of an exemplary data transfer by the hybrid data transfer method.

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FIG. 4 is a schematic diagram of data distribution to a group of recipients including a representative recipient.

FIG. 5 is a timing diagram of an exemplary data transfer by a first hybrid data transfer method with acknowledgment by a representative recipient.

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FIG. 6 is a timing diagram of an exemplary data transfer by a second hybrid data transfer method with acknowledgment by a representative recipient.

#### 25 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to FIG. 1, a wireless communication network 10 may be used to distribute data files to a number of recipients 12. For example, in a meeting or seminar a network distribution station 14 might be used to distribute data files representing presentation slides to the individual computers or other devices (recipients 12) in use by the meeting participants. Wireless communications

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systems such as systems complying with the SPECIFICATION OF THE  
BLUETOOTH SYSTEM, CORE, The Bluetooth Special Interest Group, 1999  
(Bluetooth systems) or systems complying with the SHARED WIRELESS  
ACCESS PROTOCOL (CORDLESS ACCESS) SPECIFICATION (SWAP-CA),

- 5 The HomeRF Technical Committee, 1999, (HomeRF systems) may be used to  
distribute the data. The Bluetooth and HomeRF systems are short range radio  
links operating at 2.4 GHz in the unlicensed Instrument, Scientific, and Medical  
(ISM) band. These systems utilize frequency hopping, spread spectrum  
techniques to reduce interference and fading in the noisy environments in which  
10 their communication channels exist. For example, with Bluetooth systems  
information is exchanged between end systems that assemble themselves into a  
network known as a piconet. Data is exchanged as packets in a slotted  
communication channel. Slots in the communication channel are 625  $\mu$ sec. in  
length and data packets are typically one-slot in length but can cover up to five  
15 slots. The system shifts transmission frequency for each slot by hopping through  
a psuedo-random sequence of 79 frequencies displaced from each other by  
1 MHZ. The Bluetooth and Home RF systems support both asynchronous  
(primarily, data) and synchronous (voice) communication.

- Data objects are transferred by point-to-point communication 16 during  
20 which the distribution station 14 contacts and delivers the data to each individual  
recipient 12. Point-to-point communication is utilized in transferring data files  
because error detection and correction can be incorporated in point-to-point  
communication for reliable delivery. Reliable delivery is important for data transfer  
where a single error can render a data object useless. For example in a  
25 Bluetooth system, forward error control (FEC) in the form of a three-times  
repetition code is applied to the header of transmitted data packets. A cyclic  
redundancy check (CRC) code is applied to the packet data payload. In addition,  
FEC can be applied to the data payload of a packet to reduce the number of  
retransmissions of the data. However, FEC increases transmission overhead and  
30 can be avoided if the communication environment is relatively error free. After

each packet is received, the recipient responds to the sender in a back channel communication slot indicating success or failure in the transfer of the data. If successful transfer is not acknowledged, an automatic repeat request scheme (ARQ) causes the sender to retransmit the data packet until success is either  
5 acknowledged or a predetermined number of transmissions are completed.

While point-to-point communication provides reliable delivery, it also requires that at least one copy of the data be transmitted individually to each recipient. Therefore, the total time required to distribute a data file to all recipients 12 is proportional to the number of recipients and, if the number of  
10 recipients 12 is large, the transmission time can become excessive. On the other hand, the typical short range wireless communication channel is too unreliable to permit the data to be simultaneously broadcast to all recipients 12. Noise from sources such as competing communication systems and microwave ovens, and multi-path fading due to walls and other structures are common characteristics of  
15 the short range wireless communication channels of Bluetooth and Home RF systems. However, the present inventor concluded that a hybrid communication system combining the favorable attributes of broadcasting 18 and point-to-point 16 communication could provide reliable data transmission with greater efficiency.

Referring to FIG 2, in the transmission method 50 of the present invention  
20 data is initially distributed to all recipients in a broadcast phase 52 (indicated by a bracket) followed by transmission of any unreceived data in a point-to-point phase 54 (indicated by a bracket). When communication is initiated 56, the distribution station 14 initiates a setup procedure 58. During setup 58, the distribution station 14 segments and indexes the data according to the  
25 communication protocols of the system. In an exemplary Bluetooth system the data is divided into data segments and a header is created for each segment defining essential information related to the pending transmission. During setup 58, the distribution station 14 identifies the recipients 12 and transmits the header to the recipients. The distribution station 14 may also estimate the quality  
30 of the communication channel to select the forward error correction to be applied

or the number of repetitions of the transmission to be used during the broadcast phase 52.

Following setup 58, the distribution station 14 simultaneously broadcasts 60 the data segments in sequential order to all recipients 12. The recipients 12 perform an error check of the received data using the Cyclic Redundancy Check (CRC) code or other error detection process to be applied to the data packets. Data segments received without error are included in a segment list. The recipients 12 then are polled 62 by the distribution station 14 and unreceived data are identified from the segment lists and reported by the recipients.

Polling is performed by point-to-point connection with each recipient 12. Referring to FIG. 3, the duration of the polling period 82 is proportional to the number of recipients 12 responding to the polling and the quality of the communication channel.

Statistics related to the quality of the communication channel are calculated and the time required to transmit any missing data to each recipient 12 using reliable point-to-point transmission is estimated 62. Unless the estimated time to complete error-free data transfer by point-to-point transmission obtains a predetermined relationship to the time required to re-broadcast the unreceived data 64, the unreceived data are broadcast 60 another time 63. Referring to FIG. 3, the broadcast phase 52 of the method typically comprises one or more broadcast periods 80 each followed by a polling period 82. If all data segments 86 are broadcast in each broadcast period 80, the duration of the broadcast period 80 is proportional to the size of the data file and the effective data rate of the communication channel. In this case, the broadcast period 80 is fixed, independent of the channel quality, and independent of the number of recipients. Alternatively, re-broadcasting can be limited to only received data; the data not successfully received as a result of an earlier broadcast. In this case, the broadcast period will successively shorten as the quantity of missing data is reduced with each rebroadcast. Polling is by point-to-point connection with each

of the recipients 12. The duration of the polling period 82 is proportional to the number of recipients 12 and the quality of the communication channel. The duration of the broadcast phase 52 is the product of the sum of the broadcast 80 and polling 82 periods and the number of rebroadcasts.

5           The number of times the data is rebroadcast is determined 64 by a decision criterion relating the sum of the broadcast 80 and polling 82 periods to the expected duration of the point-to-point phase 54 of the method. The point-to-point phase comprises a sequence of point-to-point connections between the distribution station 14 and each data recipient 12 during which any missing data  
10 packets are transmitted to the recipient 12 and receipt is acknowledged by the recipient 12. Following a broadcast 60, the total number of missing packets is determined or estimated by polling the recipients 12. The time needed to complete the delivery of the data object is estimated from the number missing data packets and an estimation of the communication channel throughput. Unless  
15 the time needed to complete the transfer of the data object using point-to-point transfer is less than the time required to rebroadcast the data object, the data is rebroadcast.

Other criteria may be used to determine whether to rebroadcast the data or complete the transfer by point-to-point transmission. For example, an expected  
20 success rate for an additional broadcast can be estimated from the rates of successful transfers from prior broadcasts. The expected success rate can be used to estimate the number of remaining packets for transfer after an additional broadcast. If the sum of the time for an additional broadcast and a point-to-point transfer of the estimated remaining packets is less than the estimated time for  
25 point-to-point transmission of the currently missing packets, then the data object is broadcast again 63. On the other hand, the system might switch to the point-to-point method 66 after a predetermined number of broadcasts 60. When the system adopts point-to-point transmission 66, the data is transmitted to each recipient until all the recipients have correctly received the data or the system  
30 determines that data transmission to one or more recipients is not possible and

the transmission is terminated 68.

Referring to FIG. 4, to increase the efficiency of the broadcast phase 52, one or more “representative” recipients 90 can be designated to perform error detection and respond to broadcasts by the distribution station 14 on behalf of all of the recipients 12. Since the channel conditions are likely to be similar for all recipients 12 in a short range wireless system, it is likely that other recipients will have had success similar to that of the representative 90 in receiving the packets or data units of the data object. In the Bluetooth system a distribution station-to-recipient channel slot is followed by a recipient-to-distribution station channel slot in which the recipient of a data packet can signal successful receipt of the data packet. While the recipient-to-distribution station slot is normally unused during broadcasting, a representative 90 can use this slot to signal its success in receiving a data packet 92 to the distribution station 14. As a result, the number of missing packets to be transmitted point-to-point 54 following completion of the broadcast phase 52 can be substantially reduced without capacity reduction for the forward (distribution station-to-recipient) link. FIGS. 5 and 6 are timing diagrams illustrating alternative data transfers of a data object comprising packets or data units, P1-PN, using the hybrid data transfer method with representative reporting.

Referring to FIG. 5, in a first broadcast cycle 100 the first packet (P1) is broadcast to all recipients in a first distributor-to-receiver slot 102. The representative 90 responds to the broadcast of the first packet or data unit with a transmission in the recipient-to-distributor slot 104 following the distributor-to-recipient slot used to broadcast the packet 102. Successful receipt of the packet is signaled with acknowledgment (ACK) 104. The second packet (P2) is broadcast in the next distributor-to-recipient slot 106. If the packet is not successfully received, the representative signals “not-acknowledged” (NACK) 108. If no acknowledgment signal is received by the distribution station 14, unsuccessful transmission is assumed. Since the second packet (P2) was not successfully received by the representative 90, it is rebroadcast 110 until the

representative acknowledges receipt 112. The first broadcast cycle 100 is complete when the representative 90 has acknowledged receipt of final packet (PN) 114 of the data object.

Following completion of the first broadcast cycle 100, the distributor polls the recipients 118 to determine the identity of missing packets. Each recipient (D1-DM) is polled 120 by the distribution station 14, and replies 122 identifying missing data units. It is not necessary to poll the representative because it has already acknowledged receipt of all packets. The distribution station acknowledges successful receipt of the reply 124. The reply is repeated 126 in the event of no acknowledgment from the distribution station 128. The first polling 118 is completed upon acknowledgment 130 of the reply of the last recipient 132. The duration of the polling is proportional to the channel quality and the number of recipients. At the completion of the first polling cycle 118, the times for rebroadcasting and transferring the missing data by point-to-point communication are compared and a decision 64 is made whether to initiate a second broadcast cycle or connect to each recipient by point-to-point communication 134.

FIG. 6 illustrates an alternative method of data transfer using the hybrid communication system with a reporting representative 90. In a first broadcast cycle 130, all packets (P1-PN) of the data object are successively broadcast to all recipients. The representative 90 acknowledges receiving each packet in the immediately following recipient-to-distributor slot 132. If acknowledgment of a packet (for example packet P2 136) is not received 138, the identity of the missing packet is noted by the distribution station 14. The first broadcast cycle is completed when the either receipt of the last packet (PN 140) is acknowledged 142 or the time for acknowledgment of successful receipt has passed. Packets not received by the representative are rebroadcast in a second broadcast cycle 144 and in subsequent broadcast cycles, if necessary. The broadcast phase 146 is completed when the representative has acknowledged receipt of all packets 148 in the data object or the time to rebroadcast the missing

packets obtains a predetermined relationship to an estimated time to complete transfer of the data by point-to-point communication. Following completion of the broadcast phase 146, the recipients are polled 150 and the data transfer is completed by reliable point-to-point communication 152.

5 In addition to the representative's acknowledgment of broadcast packets to the distribution station 14, a packet status report may be cycled successively through all recipients 12 in the recipient-to-distribution station channel slot avoiding the need for a polling cycle to determine the identity of missing segments prior to the conclusion of the broadcast phase 52 and the initiation of point-to-

10 point data transfer 54. The use of a representative 90 will extend the broadcast cycle 80 because a number of packets are likely to be rebroadcast in each period but will reduce the number of broadcast periods or cycles 80 required during the broadcast phase 52 improving the efficiency of the communication.

In a conference or seminar setting, a dedicated device might be designated

15 to serve as the representative of all recipients 12. On the other hand, a representative can be selected by determining the identity of a recipient 12 whose communication channel characteristics are most similar to the characteristics of the other recipients of the group. Following an initial broadcast of a data object containing a number of packets indexed from 1 to N, the distribution station 14

20 polls each recipient 12 of the group (indexed 1 to x). The success or failure of the transmission of each packet is determined and the results are compiled in a transmission results matrix ( $TM_x[]$ ) for each station. For example, transmission results matrices for two exemplary recipients 12 might include results such as:

$$TM_1 = \{1,1,1,0,1\}$$

$$TM_2 = \{1,1,0,1,1\}$$

25 where 1 indicates successful reception and 0 indicates unsuccessful reception of each of five data packets. A correlation matrix ( $CM_{xy}$ ) can be created by comparing each element of each transmission results matrix to all other transmission results matrices and summing the matches. For example:

Comparing  $TM_1$  to  $TM_1 = \{1,1,1,1,1\} \rightarrow \text{sum} = 5$

Comparing  $TM_1$  to  $TM_2 = \{1,1,0,0,1\} \rightarrow \text{sum} = 3$

produces correlation matrices elements:

$CM_{1,1} = 5$ , and

5  $CM_{1,2} = 3$

A summation result ( $SM_x$ ) is created for each recipient by summing the results of each row of the correlation matrix:

10 
$$SM_x = \sum_{y=1}^n CM_{x,y}$$

The recipient 12 (identified by its index value  $x$ ) corresponding to the greatest summation result ( $SM$ ) value is the recipient whose channel characteristics are most similar to all members of the group of recipients 12 and is designated as the representative.

15 Further, a representative may be dynamically selected by the distribution station 14. The role of representative can be rotated through all of the recipients 12 by designating a new representative as each packet is broadcast. Channel statistics obtained from each recipient as it acts as representative can be compared to identify the recipient that best represents the group of recipients 12.

20 Dynamic selection of a representative avoids a requirement for an initial broadcast without a representative followed by polling of all recipients 12 to identify an appropriate representative.

All the references cited herein are incorporated by reference.

The terms and expressions that have been employed in the foregoing

25 specification are used as terms of description and not of limitation, and there is no intention, in the use of such terms and expressions, of excluding equivalents of the features shown and described or portions thereof, it being recognized that the scope of the invention is defined and limited only by the claims that follow.

## CLAIMS

1. A method of transferring data from a distributor to a plurality of recipients comprising the steps of:

5           (a) broadcasting unreceived data to said recipients;  
          (b) repeating step (a) until a time for transferring said unreceived data by point-to-point communication with said recipients obtains a predetermined relationship to a time for said broadcasting; and,  
          (c) thereafter, transferring said unreceived data by point-to-point  
10           communication with at least one said recipient.

2. The method of claim 1 wherein the step of repeating said broadcasting of unreceived data until a time for transferring said unreceived data by point-to-point communication with said recipients obtains a predetermined relationship  
15           to a time for said broadcasting comprises the steps of:

          (a) estimating a time to transfer said unreceived data by point-to-point communication with said recipients, and  
          (b) repeating said broadcasting of unreceived data if said time to transfer said unreceived data by point-to-point communication is  
20           greater than said time to broadcast said data.

3. The method of claim 2 wherein the step of estimating a time to transfer said unreceived data by point-to-point communication with said recipients comprises the steps of:

25           (a) polling at least one recipient to identify for unreceived data said recipient;  
          (b) estimating a time to successfully transfer said unreceived data to said recipient by point to point communication; and

(c) summing said estimated times to successfully transfer said  
unreceived data to recipients reporting unreceived data.

4. The data transfer method of claim 1 wherein the step of repeating broadcast  
of said unreceived data until a time for transferring said unreceived data by  
point-to-point communication with said recipients obtains a predetermined  
relationship to a time for said broadcasting comprises the steps of:

(a) estimating a time to transfer said unreceived said data by point-  
to-point communication with said recipients,

(b) estimating remaining unreceived data following an additional  
broadcast of said data; and

(c) rebroadcasting said unreceived data if said time to transfer said  
unreceived data by point-to-point communication with said  
recipients is greater than a sum of said time to broadcast said  
data and a time to transfer said remaining said unreceived data  
by point-to-point communication.

5. The method of claim 4 wherein the step of estimating a time to transfer said  
unreceived data by point-to-point communication with said recipients  
comprises the steps of:

(a) polling at least one recipient to identify unreceived data for said  
recipient;

(b) estimating a time to successfully transfer said unreceived data to  
said recipient by point to point communication; and

(c) summing said estimated times to successfully transfer said  
unreceived data to recipients reporting unreceived data.

6. A method of transferring a plurality of data units from a distributor to a plurality  
of recipients comprising the steps of:

(a) designating a representative recipient;

- 5
- (b) broadcasting unreceived data units to said recipients;
  - (c) repeating step (b) until said representative acknowledges successful receipt of said plurality of data units;
  - (d) polling at least one said recipient to identify data units not successfully received by said polled recipient; and
  - (e) transferring said unreceived data units to said polled recipient by point-to-point communication.

10 7. The method of claim 6 wherein the step of designating a representative recipient comprises the steps of:

- 15
- (a) broadcasting a plurality of data units to said recipients;
  - (b) polling at least one said recipient to establish success in receiving said data units; and
  - (c) designating as said representative said recipient having said success most representative of said success of said plurality of recipients.

20 8. The method of claim 6 wherein the step of designating a representative recipient comprises the steps of:

- 25
- (a) broadcasting a plurality of data units to said recipients;
  - (b) polling a first recipient following a broadcast of said data units to identify said unreceived data units for said polled recipient;
  - (c) repeating step (b) for a plurality of recipients; and
  - (d) designating as said representative said recipient reporting said unreceived data most representative of said unreceived data reported by said polled recipients.

30 9. The method of claim 6 further comprising the steps of:

- (a) a first recipient reporting success in receiving said data units from said first broadcast; and

- (b) another recipient reporting success in receiving said data units from another broadcast.

10. A method of transferring data from a data distributor to a plurality of data

5 recipients comprising the steps of:

- (a) broadcasting unreceived data to said recipients;
- (b) polling at least one said recipient to identify unreceived data;
- (c) estimating a time for transferring said unreceived data to said plurality of recipients by point-to-point communication with said recipients;
- 10 (d) repeating steps (a) through (c) until said point-to-point communication time achieves a predetermined relationship to a time required to broadcast said data; and,
- (f) thereafter, transferring said unreceived data by point-to-point communication with said data recipients.
- 15

11. The method of claim 10 wherein the step of polling at least one recipient following a broadcast of said data to identify said unreceived data comprises the steps of:

- 20 (a) polling a plurality of said recipients following a broadcast of said data to identify said unreceived data for each said polled recipient;
- (b) identifying a representative recipient reporting said unreceived data most representative of said unreceived data reported by all
- 25 polled recipients; and
- (c) said representative recipient reporting the identify said unreceived data on behalf of all said recipients following a subsequent broadcast.

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12. The method of claim 10 wherein the step of polling at least one recipient following a broadcast of said data to identify said unreceived data comprises the steps of:

- 5 (a) polling a first recipient following a broadcast to identify said unreceived data for said polled recipient;
- (b) polling another recipient following another broadcast of said data to identify said unreceived data for said another polled recipient;
- (c) repeating step (b) for all polled recipients;
- 10 (d) identifying a representative recipient reporting unreceived data most representative said unreceived data reported by said polled recipients; and,
- (e) thereafter, said representative recipient reporting said unreceived data for said recipients following a broadcast of said data.

13. The method of claim 10 wherein the step of repeating said broadcasting of said unreceived data until said time to transfer said unreceived data by point-to-point communication with said recipients achieves a predetermined relationship to said time for said broadcasting comprises the steps of:

- 20 (a) determining a time to transfer said unreceived data by point-to-point communication with each said recipient,
- (b) estimating remaining unreceived data to be transferred after an additional broadcast of said data; and
- 25 (c) broadcasting said unreceived data again if said time to transfer said unreceived data by point-to-point communication with said recipients is greater than a sum of said time to broadcast said data and a time to transfer said estimated remaining unreceived data by point-to-point communication.

14. The data transfer method of claim 10 wherein the step of repeating said  
30 broadcasting of said unreceived data until a time for transferring said

unreceived data by point-to-point communication with said recipients achieves a predetermined relationship to a time for said data broadcasting comprises the steps of:

- (a) determining a time to transfer said unreceived data by point-to-point communication with each recipient, and
- (b) repeating said data broadcasting if said time to transfer said unreceived data by point-to-point communication is greater than said time to broadcast said data.

15. The method of claim 10 further comprising the step of transmitting said unreceived data by point-to-point communication following a predetermined number of broadcasts of said data.

16. A method of transferring data from a data distributor to a plurality of data recipients comprising the steps of:

- (a) broadcasting unreceived data to said recipients;
- (b) polling said recipients to identify unreceived data;
- (c) identifying a representative recipient reporting unreceived data most representative of said unreceived data reported by said polled data recipients;
- (d) estimating a time for transferring unreceived data to said plurality of recipients by point-to-point communication with each recipient;
- (e) rebroadcasting said data to said recipients if said estimated time to transfer said unreceived data by point-to-point communication is less than a time required to broadcast said data;
- (f) polling said representative to identify said unreceived data; and
- (g) repeating steps (c), (d), and (e) until said estimated time to transfer said unreceived data by point-to-point communication is less than a time required to broadcast said data; and

(h) thereafter transferring said unreceived data by point-to-point communication with said data recipients.

17. A method of transferring a plurality of data units from a distributor to a plurality  
5 of recipients comprising the steps of:

- (a) broadcasting a plurality of data units to said recipients;
- (b) polling at least one said recipient to establish success in receiving said data units;
- (c) comparing said success of at least two recipients;
- 10 (d) designating as a representative said recipient having said success most representative of said success of said plurality of recipients;
- (e) broadcasting data units to said recipients;
- (f) repeating step (e) until said representative acknowledges successful receipt of said plurality of data units;
- 15 (g) polling at least one said recipient to identify data units not successfully received by said recipient; and,
- (h) thereafter, transferring said unreceived data units to said recipient by point-to-point communication.

18. A method of transferring a plurality of data units from a distributor to a plurality  
of recipients comprising the steps of:

- (a) broadcasting said plurality of data units to said recipients;
- (b) a first recipient identifying unreceived data following a broadcast  
25 of said data;
- (c) rebroadcasting said plurality of data units to said recipients;
- (d) another recipient identifying unreceived data following said rebroadcast of said data;
- (e) comparing said unreceived data identified by said recipient and  
30 said unreceived data identified said another recipient;

- (f) designating as representative recipient a recipient reporting  
unreceived data most typical of unreceived data reported by said  
recipients;
- (g) broadcasting said data units until said representative  
acknowledges successful receipt of said plurality of data units;
- (h) polling at least one said recipient to identify data units not  
successfully received by said recipient; and,
- (i) thereafter, transferring said unreceived data units to said recipient  
by point-to-point communication.

19. A method of transferring a plurality of data units from a distributor to a plurality  
of recipients comprising the steps of:

- (a) designating a representative recipient;
- (b) broadcasting a data unit to said recipients;
- (c) repeating step (b) until said representative acknowledges  
successful receipt of said data unit;
- (d) repeating steps (b) and (c) until said representative  
acknowledges successful receipt of said plurality of data units;
- (e) polling at least one said recipient to identify data units not  
successfully received by said polled recipient; and
- (f) transferring said unreceived data units to said polled recipient by  
point-to-point communication.

20. The method of claim 19 wherein the step of designating a representative  
recipient comprises the steps of:

- (a) broadcasting a plurality of data units to said recipients;
- (b) polling at least one said recipient to establish success in  
receiving said data units; and

(c) designating as said representative said recipient having said success most representative of said success of said plurality of recipients.

5 21. The method of claim 19 wherein the step of designating a representative recipient comprises the steps of:

- (a) broadcasting a plurality of data units to said recipients;
- (b) polling a first recipient following a broadcast of said data to identify unreceived data for said polled recipient;
- 10 (c) repeating steps (a) and (b) for a plurality of recipients; and
- (d) designating as said representative said recipient reporting said unreceived data most representative of said unreceived data reported by said polled recipients.

15 22. The method of claim 19 further comprising the steps of:

- (a) a first recipient reporting success in receiving said data units from said first broadcast; and
- (b) another recipient reporting success in receiving said data units from another broadcast.

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23. A method of transferring a plurality of data units from a distributor to a plurality of recipients comprising the steps of:

- (a) broadcasting a plurality of data units to said recipients;
- (b) polling at least one said recipient to establish success in receiving said data units;
- 25 (c) designating as a representative said polled recipient having said success most representative of said success of said plurality of recipients;
- (d) broadcasting a data unit to said recipients;

30

- (e) repeating step (d) until said representative acknowledges successful receipt of said data unit;
- (f) repeating steps (d) and (e) until said representative acknowledges successful receipt of said plurality of data units;
- 5 (g) polling at least one said recipient to identify data units not successfully received by said recipient; and,
- (h) thereafter, transferring said unreceived data units to said recipient by point-to-point communication.

10 24. A method of transferring a plurality of data units from a distributor to a plurality of recipients comprising the steps of:

- (a) broadcasting said plurality of data units to said recipients;
- (b) identification of unreceived data by a first recipient following said broadcast of said data;
- 15 (c) rebroadcasting said plurality of data units to said recipients;
- (d) identification of unreceived data by another recipient following said rebroadcast of said data;
- (e) comparing said unreceived data identified by said recipient and unreceived data identified by said another recipient;
- 20 (f) designating as representative recipient a recipient reporting unreceived data most typical of unreceived data reported by all recipients;
- (g) broadcasting a data unit until said representative acknowledges successful receipt of said of data unit;
- 25 (h) repeating step (g) until said representative acknowledges successful receipt of said plurality of said data units;
- (i) polling at least one said recipient to identify data units not successfully received by said recipient; and,
- 30 (j) thereafter, transferring unreceived data units to said recipient by point-to-point communication.

## ABSTRACT OF THE DISCLOSURE

5           In wireless networks, data objects are typically transferred from a data distributor to a data recipient by reliable point-to-point communication between the distributor and the recipient. However, the time to transfer data by point-to-point communication can become excessive if the number of recipients is large. Efficient and reliable data transfer is provided by a system incorporating broadcast and point-to-point communication topologies. The data is initially broadcast to all recipients. Data broadcasting is followed by polling the recipients. If the data missing after a broadcast can be transferred in less time by point-to-point communication then that topology is chosen to complete the transfer. If not, the missing data is broadcast again to all recipients. The efficiency of the broadcast phase can be improved by designating a representative to acknowledge successful data transfer on behalf of all recipients. A most suitable representative of all recipients can be selected by comparing missing data for each recipient to the missing data of all other recipients.

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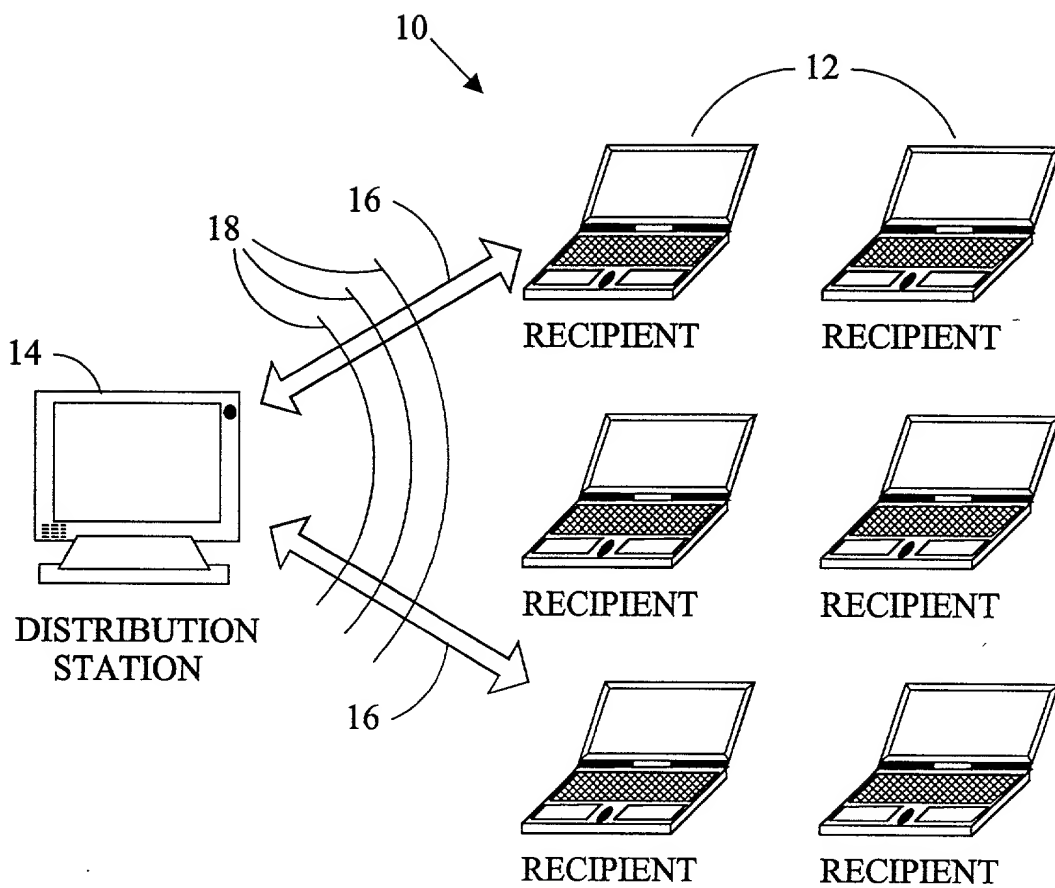


FIG. 1

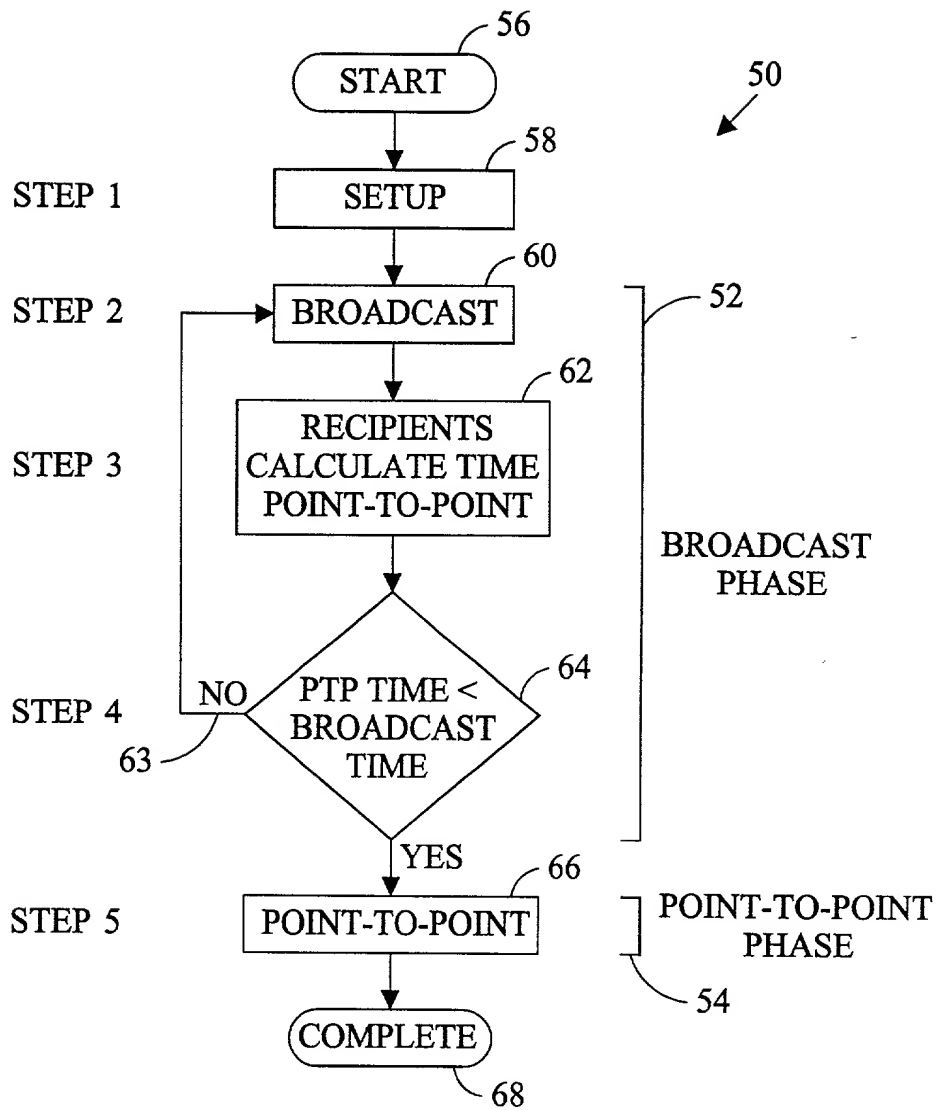


FIG. 2

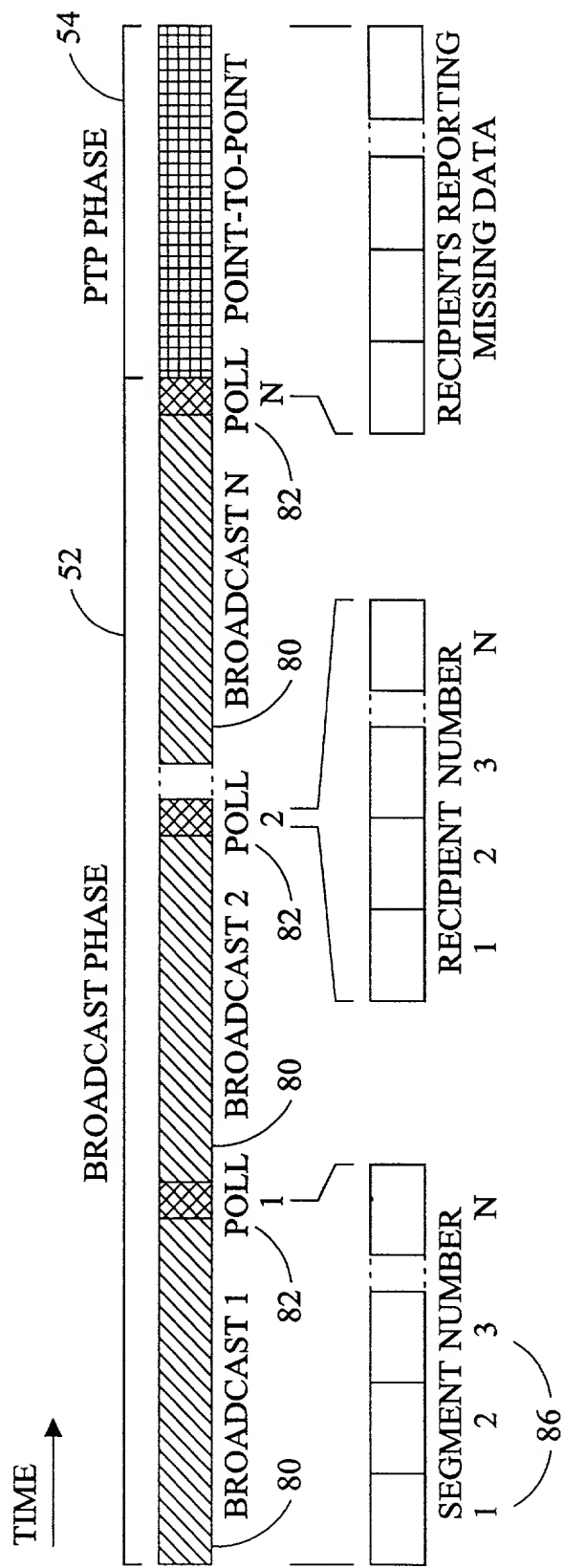


FIG. 3

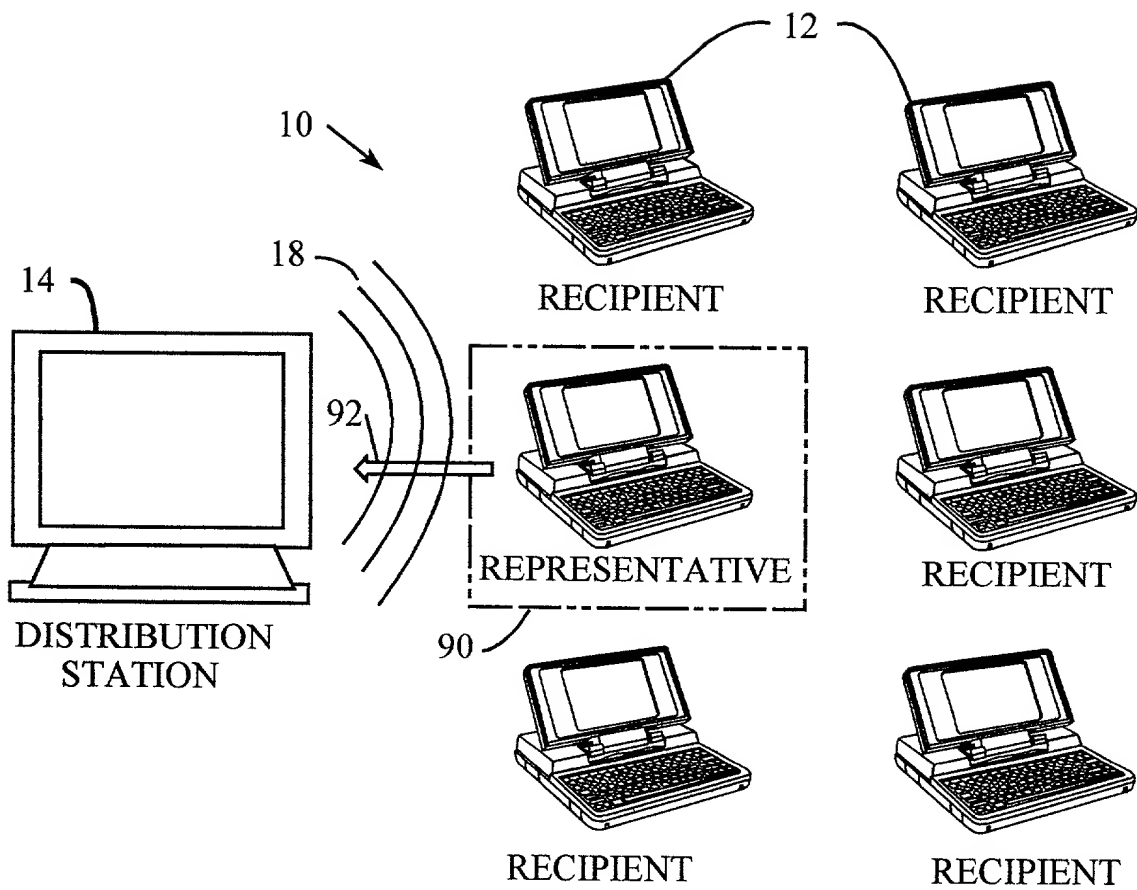


FIG. 4

FIG. 5 is a diagram illustrating a sequence of events in a network protocol, showing the flow of data and control messages between a sender and a receiver over time.

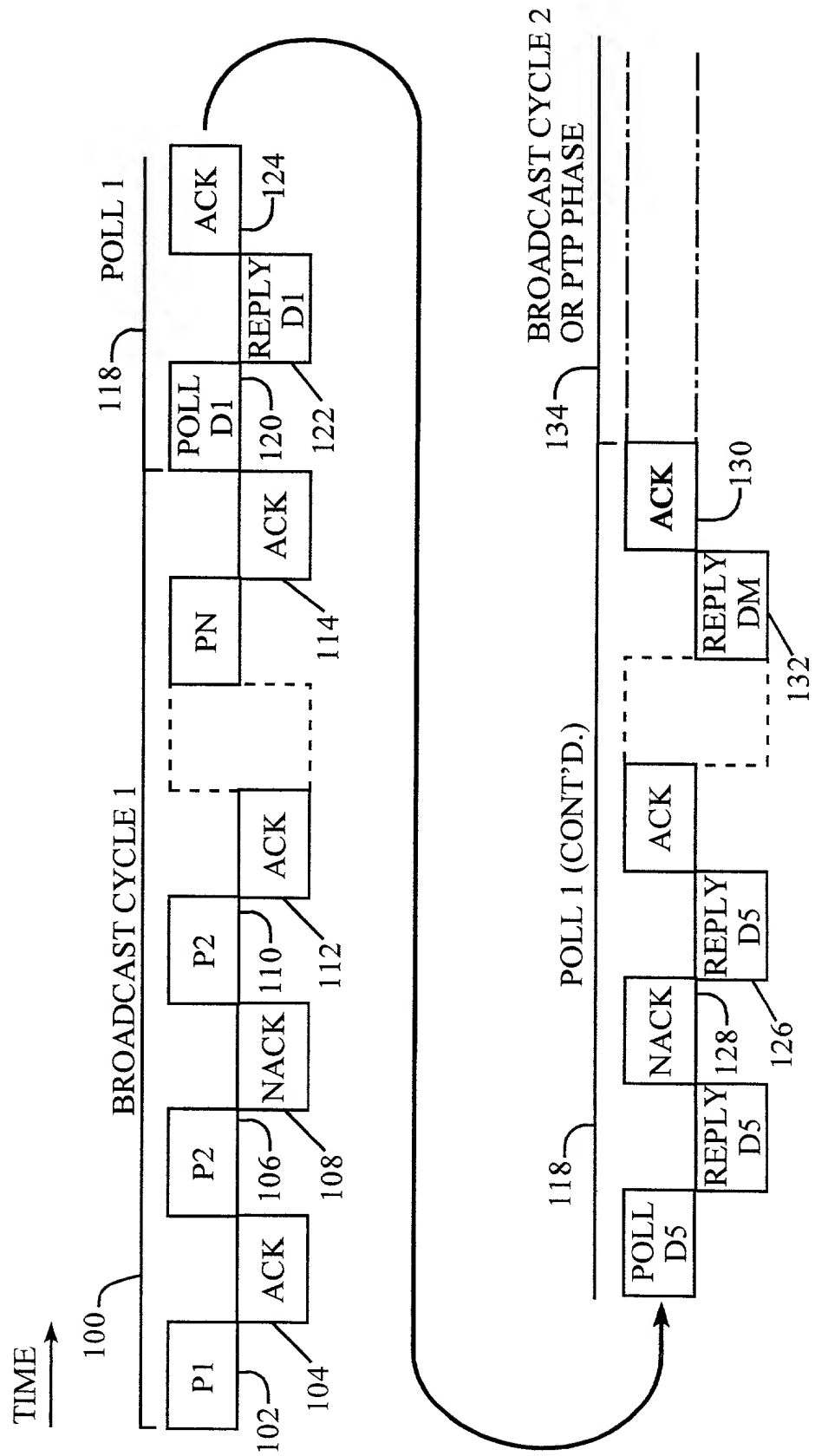


FIG. 5

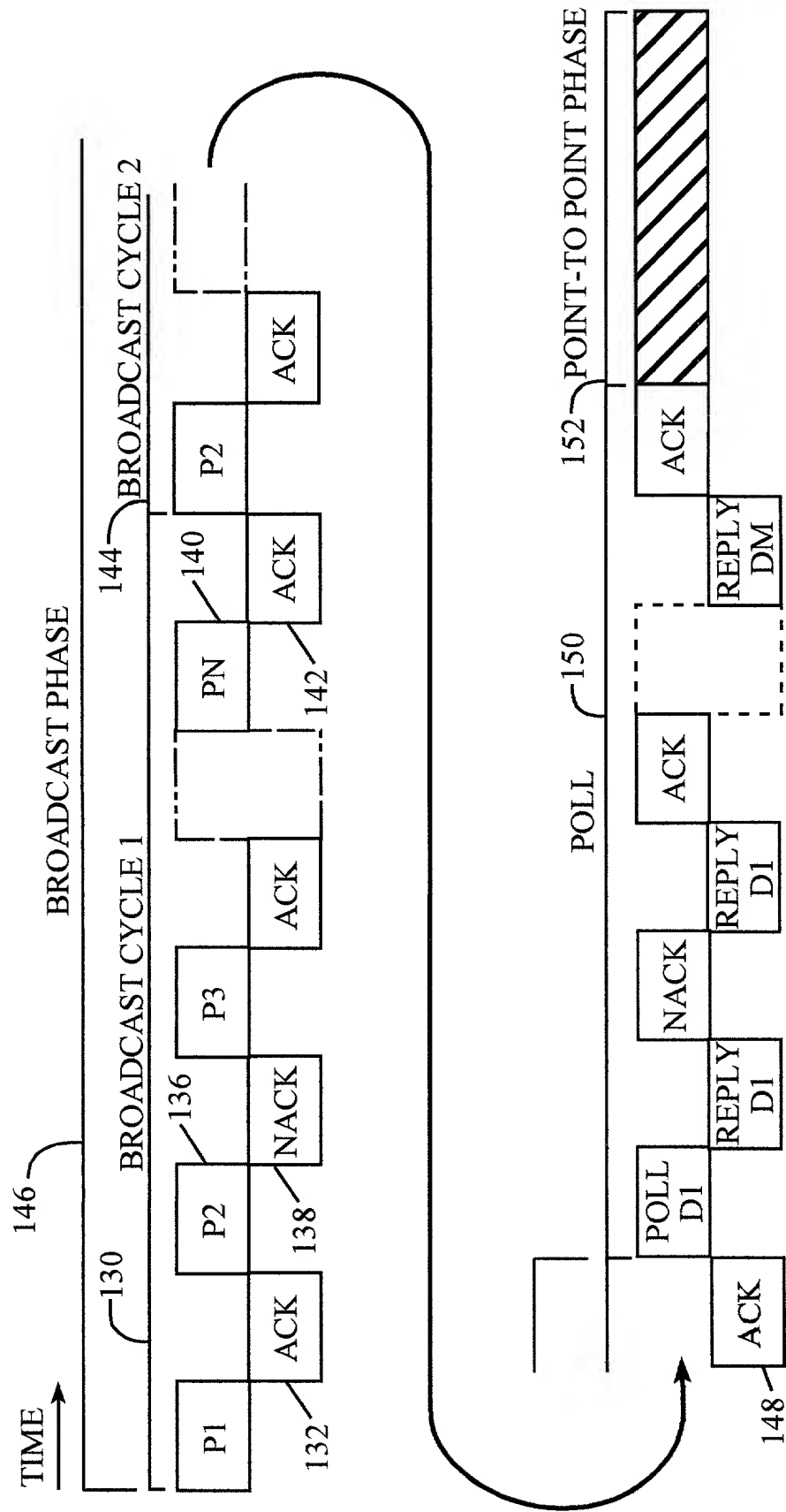


FIG. 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION EXAMINING OPERATIONS

Applicant : Daryl Hlasny

Group Art Unit:

Serial No :

Examiner:

Filed:

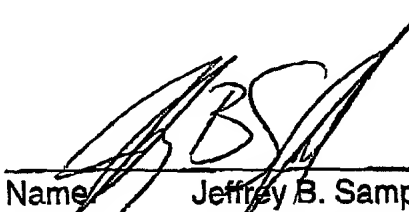
Title: EFFICIENT AND RELIABLE BROADCAST VIA A HYBRID  
BROADCAST-POINT-TO-POINT SYSTEM

**POWER OF ATTORNEY**

I, Jeffrey B. Sampsell, declare that I am the Vice President of Sharp Laboratories of America, Inc., a Washington corporation, and I am authorized to execute this document on its behalf. Sharp Laboratories of America, Inc., is the assignee of the entire right, title and interest in the above-referenced patent application and hereby appoints Jacob E. Vilhauer, Jr., Reg. No. 24,885, Charles D. McClung, Reg. No. 26,568, Dennis E. Stenzel, Reg. No. 28,763, Donald B. Haslett, Reg. No. 28,855, William O. Geny, Reg. No. 27,444, J. Peter Staples, Reg. No. 30,690, , Kevin L. Russell, Reg. No. 38,292, Nancy J. Moriarty, Reg. No. P-40,733, Bruce W. DeKock, Reg. No. P-40,585, and Tim A. Long, Reg. No. P-28,876 all members of the firm of CHERNOFF, VILHAUER, McCLUNG & STENZEL, 1600 ODS Tower, 601 S W Second Avenue, Portland, Oregon 97204, Telephone No. (503) 227-5631, its attorneys, jointly and individually, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 8/31/00

  
Name: Jeffrey B. Sampsell, Ph.D.  
Title: Vice President  
Company: Sharp Laboratories  
of America, Inc.

# DECLARATION

As the below named inventors, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and only inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## **EFFICIENT AND RELIABLE TRANSMISSION VIA A HYBRID BROADCAST-POINT-TO-POINT SYSTEM**

the specification of which

- ☒ is attached hereto.  
was filed on \_\_\_\_\_ as
- ☐ Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_. (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventors' certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			<u>Priority Claimed</u>
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the

manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Ser. No.) (Filing Date) (Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

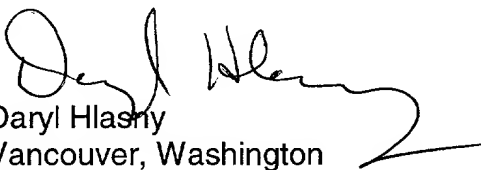
Dated:

Aug. 29, 2000

Residence

Citizenship

Post Office Address

  
Daryl Hlasny  
Vancouver, Washington  
Canada  
11301 NE 7<sup>th</sup> Street  
Vancouver, WA 98684